

# Energy-efficient checkpointing in high-throughput cycle-stealing distributed systems.

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## Abstract

Checkpointing is a fault-tolerance mechanism commonly used in High Throughput Computing (HTC) environments to allow the execution of long-running computational tasks on compute resources subject to hardware and software failures and interruptions from resource owners and more important tasks. Until recently many researchers have focused on the performance gains achieved through checkpointing, but now with increasing scrutiny of the energy consumption of IT infrastructures it is increasingly important to understand the energy impact of checkpointing within an HTC environment. In this paper we demonstrate through trace-driven simulation of real-world datasets that existing checkpointing strategies are inadequate at maintaining an acceptable level of energy consumption whilst achieving the performance gains associated with checkpointing. Furthermore, we identify factors important in deciding whether to exploit checkpointing within an HTC environment, and propose novel strategies to curtail the energy consumption of checkpointing approaches whilst maintaining the performance benefits.

*Keywords:* Energy efficiency, Checkpointing, Migration, Fault tolerance, Desktop Grids

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## 1 Introduction

The issue of performance and reliability in cluster computing have been studied extensively over many years [18], resulting in techniques to improve these properties. The issue of cluster ‘*performability*’ is relatively well understood, but until recently few have considered its consequences for energy consumption.

High-throughput cycle stealing distributed systems such as HTCCondor [23] and BOINC [1] allow organisations to leverage spare capacity on existing infrastructure

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to undertake valuable computation. These High Throughput Computing (HTC) systems are frequently used to execute long-running computational tasks, so are susceptible to interruption due to hardware and software failures. Furthermore, in our context of an institutional ‘*multi-use*’ cluster comprised of student and staff machines, jobs may also be interrupted when an interactive user starts to use a machine with the interruption leading to the work being evicted from the resource.

The execution time of these long-running tasks often exceeds the mean time to failure (MTTF) of the resources on which they execute. Consequently, failures of resources lead to significant wasted computation and energy consumption. Furthermore, these overheads lead to increased makespan (also referred in the literature as *sojourn time*) of tasks in the system.

Checkpointing is a fault-tolerance mechanism commonly used to increase reliability and predictability by periodically storing snapshots of application state to stable storage. These snapshots may then be used to resume execution in the event of a failure, reducing wasted execution time to that performed since the last checkpoint. Checkpointing has previously been employed on HTC clusters with little consideration of the energy consumption incurred by checkpointing overheads.

In recent years attention has turned to the energy consumption of IT infrastructures within organisations. Aggressive power management policies are often employed to reduce the energy impact of institutional clusters, but in doing so these policies severely restrict the computational resources available for high-throughput systems. These policies are often configured to quickly transition servers and end-user cluster machines into low power states after only short idle periods, further compounding the issue of reliability and lowering the availability perceived by applications running in the system.

The aim of this work is to provide insights into the energy impact of checkpointing techniques on high-throughput computing environments. In this paper we provide the following key contributions:

- Evaluate the energy impact of the two checkpoint schemes previously proposed in the literature for a real workload.
- Propose novel checkpoint policies for high-throughput computing environments and evaluate their performance for a real workload in terms of task overheads and energy consumption.
- Develop a trace-driven simulation environment as a basis for research into energy-efficient fault tolerance approaches for HTC systems.

The rest of the paper is organised as follows. We outline related work in Section 2 and introduce our experimental approach and trace-driven simulation of checkpointing in a high-throughput computing environment using real-world datasets in Section 3. Section 4 describes a number of existing checkpointing strategies, and we propose novel energy- and failure-aware checkpoint strategies. In Section 5 we demonstrate the detrimental effects of existing checkpointing policies on energy consumption, motivating the need for an increased understanding of the impact of checkpointing strategies within HTC clusters. Finally we discuss key considerations when adopting checkpointing in HTC clusters in Section 6 and conclude in Section 7.

## 2 Related Work

### 2.1 Checkpointing in real-time systems

Previous works in energy-aware checkpointing have primarily focused on real-time systems [41,37,29] subject to strict energy and deadline constraints.

Zhang *et al.* [41] propose an adaptive checkpointing scheme to maximise the probability of satisfying a task’s deadline in the presence of  $k$  faults, specified by a pre-defined fault tolerance requirement. Energy consumption is then introduced as a secondary optimisation criteria, with Dynamic Voltage Scaling (DVS) employed to maintain a processor in low power state, transitioning to higher frequency operating modes when required to satisfy a task’s deadline.

Melhem *et al.* [29] propose a similar approach, employing DVS in the absence of failures to leverage ‘slack’ time between a task’s deadline and expected completion time, transitioning a processor into a less performant but more energy efficient operating state.

Unsal *et al.* [37] evaluate the energy characteristics of an Application-Level Fault Tolerance (ALFT) scheme, where redundancy and recovery logic is incorporated at the application level, rather than being provided at the system or hardware level and propose a task scheduling heuristic reducing energy consumption by up to 40%.

Our scenario of a high-throughput computing environment is not subject to the same budgetary constraints as real-time systems. HTC systems tend to place an emphasis on overall system throughput rather than the completion time for individual tasks, instead adopting a best effort policy to execution completion, and often do not consider deadline constraints in during resource allocation. However, these approaches may be considered complementary to our own.

### 2.2 Checkpointing in HPC

More recently, research has sought to understand the overheads and energy implications of fault tolerance mechanisms, including checkpointing, in anticipation of exascale High-Performance Computing (HPC). Bouguerra *et al.* [6] investigate the impact of combined proactive and preventative checkpointing schemes in HPC systems, achieving up to a 30% increase in computing efficiency with negligible increase in overheads.

At exascale, increased frequency of faults are anticipated and energy consumption is a key issue [10]. To this end, Diouri *et al.* explore the energy consumption impact of uncoordinated and coordinated checkpointing protocols on an MPI HPC workload [14], while Mills *et al.* demonstrate energy savings by applying Dynamic Voltage and Frequency Scaling (DVFS) during checkpointing [30].

Further works focus on energy and scalability issues relating to persisting checkpoint images to stable storage. Saito *et al.* [36] consider energy saving when persisting checkpoint images, employing profile-based I/O optimisation to reduce the energy consumption of checkpointing to NAND flash memory by  $\sim 40\text{-}67\%$ .

We consider the application of DVS [41,37] and DVFS [30] to reduce the energy consumption of checkpoint operations to be complementary to our approaches.

### 2.3 Checkpointing in HTC systems

The application of checkpointing in High-Throughput Computing environments and Fine-Grained Cycle Sharing (FGCS) systems is explored extensively in [34,7], though without consideration for its implications for energy consumption.

Aupy *et al.* [2] investigate energy-aware checkpointing strategies in the context of arbitrarily divisible tasks. While divisible tasks encompasses a number of common applications including BLAST sequencing and parallel video processing [40], such tasks represents only a proportion of our workload, and HTC systems do not typically have control over the division of batched tasks.

### 2.4 Simulation

A number of Grid and Cluster level simulators exist including SimGrid [20], GridSim [8], and OptorSim [4] though these focus more at the resource selection process both within clusters and between clusters and lack the modelling of energy. More recently Cloud simulators have been proposed which are capable of modelling the tradeoff between not only cost and Quality of Service, but also energy consumption. These include CloudSim [9], GreenCloud [19], and MDCSim [22]. However, these do not allow modelling of multi-use clusters with interactive user workloads, nor do they support checkpointing.

Zhou *et al.* [43] propose an extension to the CloudSim [9] framework to support simulation of fault tolerance mechanisms but this is not publicly available.

Vieira *et al.* [39] propose ChkSim, a Java-based simulation environment for the evaluation of checkpointing algorithms. The tool focuses on checkpointing approaches for workloads comprising groups of dependent processes communicating with one another across the network, equivalent to an MPI HPC workload. ChkSim focuses on the number of unused checkpoints as its key metric of checkpoint performance; however it does not assess the impact of checkpointing schemes on energy consumption and may not easily be adapted to model a high-throughput environment and interactive user workloads.

## 3 Simulation

In this paper, we evaluate the efficacy of existing checkpointing schemes using trace-driven simulation on a real dataset collected during 2010 at Newcastle University [26], comprising details of all job submissions to Newcastle University’s HTCondor [23] cluster and interactive user activity for the twelve month period.

### 3.1 Datasets

In 2010, the Newcastle University HTCondor cluster comprised 1,359 machines from 35 computer clusters. The opening hours of these clusters varied, with some respecting office hours, and others available for use 24 hours a day. Clusters may belong to a particular department within the University and serve a particular subset of users, or may be part of a common area such as the University Library or Students’ Union building. Computers within the clusters are replaced on a five-

year rolling programme with computers falling into one of three broad categories as outlined in Table 1. Energy consumption values are ‘nameplate’ values obtained from manufacturer documentation for the machines provisioned in these clusters in 2010.

The University has a policy to minimise energy consumption on all computational infrastructure which has been in place for a number of years. Hence the ‘Normal’ computers have been chosen to be energy efficient. ‘High End’ computers are provisioned for courses requiring large computational and/or rendering requirements such as CAD or video editing, as such they have higher energy requirements. ‘Legacy’ computers pre-date the policy of purchasing energy efficient computers and are also the oldest equipment within the cluster. All computers within a cluster are provisioned at the same time and will contain equivalent computing resources. Thus there is a wide variance between clusters within the University but no significant variance within clusters.

Figure 1 shows all HTCCondor job submissions for 2010. To aid clarity, the figure is clipped on 3rd June 2010 which featured  $\sim 93,000$  job submissions. Figure 2 shows the seasonal nature of interactive user activity within these clusters, demonstrating clear differences between weekends and weekdays, as well as term-time and holiday usage.

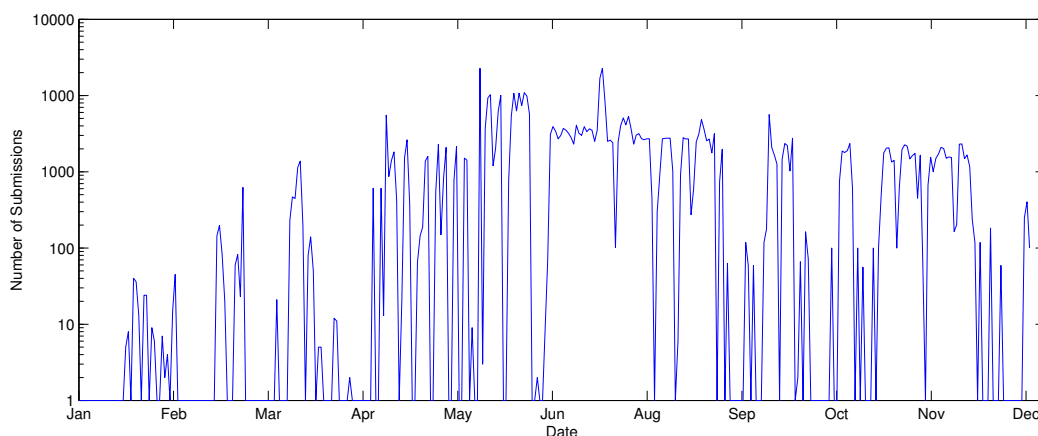


Fig. 1. HTCCondor job submissions

Type	Cores	Speed	Power Consumption		
			Active	Idle	Sleep
Normal	2	$\sim 3\text{Ghz}$	57W	40W	2W
High End	4	$\sim 3\text{Ghz}$	114W	67W	3W
Legacy	2	$\sim 2\text{Ghz}$	100-180W	50-80W	4W

Table 1  
Computer Types

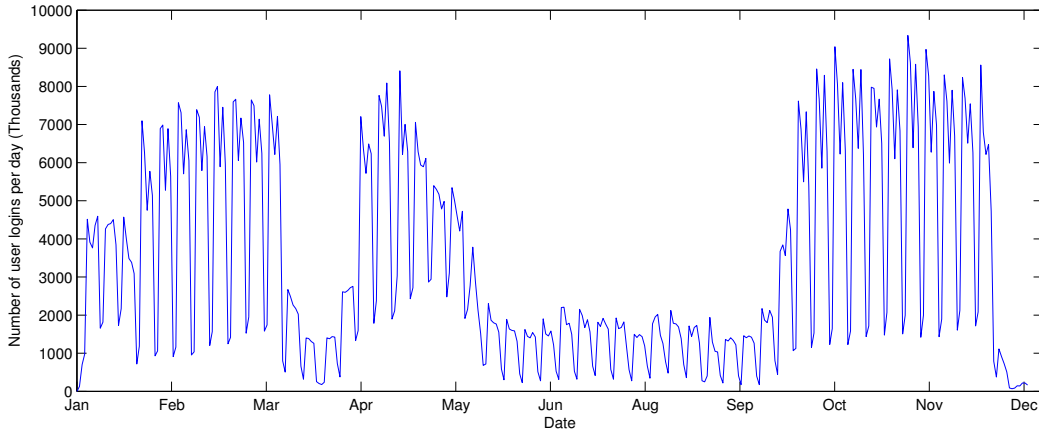


Fig. 2. Interactive user arrivals

### 3.2 Simulation system

In this work, we extend our trace driven simulation model of a shared resource High Throughput Computing system, based around the HTCondor software [27,25,24]. This Java-based simulation software offers a number of benefits over a measurement approach, allowing us to rapidly evaluate new policy ideas and scheduling decisions in a controlled and repeatable manner, without the need for a costly testing environment, and with isolation from variability introduced by evaluations based on a live HTCondor environment. As the traffic observed in our environment is highly seasonal, a trace driven simulation approach also allows us to compare policies across various workload and interactive user requirements. The simulation environment is designed in such a way that policies evaluated in simulation may then be easily deployed into a real HTCondor environment [28].

The behaviour of the simulation software is informed by three files, the first describing the policy configuration to use for the simulation, the second a trace log of user access patterns to the computers and the third file a trace log of HTCondor workload. The user trace data indicates login and logout time for the user, and the specific computer that the user occupied. In this paper we do not simulate alterations to this usage pattern. The high-throughput trace data, by contrast, contains only the time that the jobs were submitted, their duration and their memory footprint at time of completion. By interplaying these trace datasets we are able to accurately model the operation of the Newcastle University HTCondor system and computer clusters.

We extend our simulation environment to model the checkpoint model introduced in Section 3.3, and evaluate the impact of enacting various checkpointing policies outlined in Section 4 within the system. While in this work we primarily consider energy consumption and average task overhead, our simulation records numerous additional performance measures, enabling us to evaluate the impact of policies on all areas of the system.

In previous work [27] we investigate the impact of resource allocation strategies on the energy efficiency of high-throughput systems, allocating jobs to resources based on energy efficiency and estimated likelihood of interruption. Throughout this

work we consider a random resource allocation strategy as most representative of default policies in many HTC systems. We provide results averaged across multiple simulation runs and report the variability introduced into results as a consequence of this non-deterministic resource allocation.

The introduction of checkpoint and migration strategies to HTC systems exacerbates the issue of wasted execution through the repeated allocation of ‘*bad*’ tasks, those tasks which due to unfulfilled task requirements or faulty operation will never complete [24]. In order to curtail such executions and isolate the impact of checkpointing strategies on the operation of the system, throughout our experiments we bound execution time to a total of 24 hours, which is equivalent to the maximum availability period observed in our HTCCondor cluster due to nightly cluster reboots.

Though our simulation environment is designed based on the HTCCondor system, our representation of HTC workloads and computational resources are generic, so we believe our results to be easily generalisable to similar high-throughput computing environments.

### 3.3 Checkpointing and Failure Model

Choi *et al.* [11] present a classification of two types of failures encountered on desktop grid environments: *volatility failures* including machine crashes and unavailability due to network issues, and *interference failures* arising from the volunteer nature of the resources. It is these *interference failures* which we consider throughout this work. Furthermore, we consider resource volatility in the form of scheduled nightly reboots for maintenance.

Figure 3 shows the state transition diagram for the execution of a single job in our system in the presence of these failures. Jobs are submitted by users and join a queue prior to being allocated on a resource. Once running, jobs are susceptible to interruption due to interactive users arriving on the resource, where jobs may be evicted immediately, or suspended for a period of time, where jobs are evicted if the interactive user does not depart the resource after a given period of time. Furthermore, results may be manually removed by their owner or a system administrator while in any non-final state. Our checkpoint model differs from those presented in the literature as we assume interruptions may occur during checkpointing operations and subsequent recoveries.

While High-Performance Computing (HPC) workloads such as MPI-based parallel applications rely on low-latency interconnects and significant bandwidth between nodes, HTC jobs typically have minimal network requirements so we expect the impact of checkpoint on the resident job to be negligible. Therefore, we assume the transfer of a checkpoint image may occur once the execution of a checkpointed job resumes.

### 3.4 Power model

The energy consumption of server and commodity hardware has been studied extensively in the literature. Early works leveraged low-level metrics such as performance counters [5] when developing predictive models of energy consumption. These models tend to require significant architecture knowledge and typically were

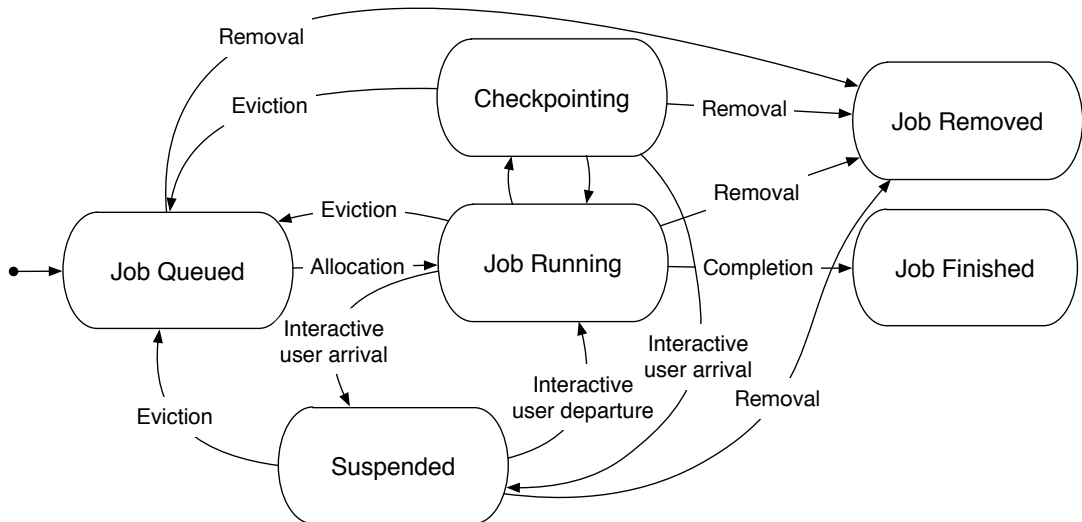


Fig. 3. Job state transition diagram

not generalisable to other hardware, nor scalable to entire computer systems. A strong linear correlation exists between energy consumption and CPU utilisation with works using this as a predictor of energy consumption [15], while others derive linear regression models based on utilisation of CPU, memory and storage subsystems [13,35]. The literature provides models both for single servers [13,35], groups of systems [33,16,15] and virtualised environments [12]

In this work we lack resource utilisation information for the HTC worker nodes, so adopt a power model employing easily obtained ‘*nameplate*’ power consumption values where a machine may belong to one of three operating states as defined in the Advanced Configuration and Power Interface (ACPI) specification [17]; *active* and *idle* (S0), or *sleep* (S3). Table 1 shows the three classes of machines considered in our simulation, and the associated power values in each state.

In this work we assume checkpoints are stored on the stable storage of the existing servers provisioned to act as the central manager and submit nodes for HTCCondor, so are able to discount their energy consumption. Consequently we model the energy cost of a checkpoint operation as the energy consumption of the resource during the checkpoint operation.

When devising checkpointing strategies we ensure they rely only upon readily available system information and avoid expensive computation, such that they may be easily implemented in a real HTC system. The policies outlined below make use of system information exposed through the HTCCondor ClassAd mechanism [32] and other HTC systems, so we consider each of these policies to be realistic.

## 4 Policies

In this section we introduce the checkpointing policies investigated throughout this work. We divide these into policies to determine the interval between checkpoint evaluation events, policies determining whether a checkpoint operation should take place for a given evaluation event, and policies determining the time taken to gener-



ate checkpoints within the simulation. Furthermore, we propose a class of migration policies which proactively checkpoint in anticipation of failure events, and migrate tasks to resources less susceptible to failure. Many of the policies outlined below are not mutually exclusive, and we anticipate a combination of these approaches will yield best results.

#### 4.1 Baseline policies

The following checkpointing policies are proposed to form a baseline against which the competitiveness of our proposed policies may be assessed.

**None:** This policy represents the policy enacted during 2010 in the Newcastle University HTCondor pool, where no jobs were checkpointed.

**Opt:** An optimal checkpointing strategy for best case comparison, whereby jobs are checkpointed immediately prior to eviction. The results of this policy represent the maximum possible reduction in energy consumption and overheads achievable using checkpointing mechanisms, assuming perfect knowledge of future events. In order to provide a realistic optimal policy against which we base our comparisons, under the Opt scheme checkpoints are only performed where current execution time of the job is greater than or equal to the duration of the checkpoint operation. Otherwise, a checkpoint is not taken, resulting in some loss of computation.

#### 4.2 Checkpoint Interval

Here we present a number of policies determining the interval between checkpoint operations for a job.

**C( $n$ ):** Each job is checkpointed every  $n$  minutes. Hourly checkpointing (**C(60)**) is frequently considered in the literature and the HTCondor default strategy equates to **C(180)** [38].

**Multi( $n_{open}, n_{closed}, t$ ):** This policy leverages easily obtained system knowledge, considering computer cluster open/closed state to be analogous to high and low rates of user arrivals respectively. We define the time to the next checkpoint interval for a job in cluster  $j$  at time  $\tau$  as:

$$I_{j,\tau} = \begin{cases} n_{open} & \text{if } \exists s_{i,j}, f_{i,j} : s_{i,j} - c_j \leq \tau \leq f_{i,j} - c_j \\ n_{closed} & \text{otherwise} \end{cases} \quad (1)$$

where  $s_{i,j}$  is the ordered set of all start of open periods in cluster  $j$ ,  $f_{i,j}$  is the corresponding ordered set of all closed periods in cluster  $j$  and  $c_j$  is a time interval to mitigate the effect of checkpoints intervals selected close to a boundary being allocated a bad checkpoint interval with respect to the next interval.

**MinuteInHour( $m, t$ ):** In our analysis of our institutional workload, we observe a large proportion of interruptions from interactive users occur close to hour boundaries during office hours. This occurs due to the student user base on the systems, with students arriving and departing systems ahead of scheduled practical sessions and lectures. In this policy we leverage this observation, setting checkpoint intervals such that checkpoint operations are enacted prior to this increase in interruption,

reducing the negative impact of checkpointing of jobs early in their execution. The next checkpointing interval  $i$  is derived using the following equation:

$$i = \begin{cases} m - j_{min} & \text{if } j_{min} < (m - t) \\ 60 + (m - j_{min}) & \text{otherwise} \end{cases} \quad (2)$$

where  $j_{min}$  ( $0 \leq j_{min} \leq 59$ ) is the number of minutes past the hour at which we are computing the next checkpoint interval, threshold value  $t$  represents a minimum job runtime before a job may be checkpointed and  $m$  is the number of minutes past the hour at which we wish to perform a checkpoint.

**MinuteInHour**( $m, t, r$ ): In situations where large batches of jobs are submitted to the system at the same time, this may result in many checkpoints being taken simultaneously. In a real system this could impose significant load on the network and storage nodes. In order to mitigate these potential effects, we propose the following refined policy:

$$i = \begin{cases} m - j_{min} \pm \frac{R}{2} & \text{if } j_{min} < (m - t) \\ 60 + (m - j_{min} \pm \frac{R}{2}) & \text{otherwise} \end{cases} \quad (3)$$

where  $R$  is a random variable uniformly distributed on  $[0, r]$  used to introduce a random component in the checkpoint interval, measured in minutes. As the value of  $r$  increases the system will become less susceptible to large numbers of simultaneous checkpoints caused by batch arrivals, but limit the ability of the policy to leverage the minute-in-hour period behaviour in checkpoint scheduling.

**Ratio**( $p$ ): In this policy we place a probabilistic upper bound on the proportion of execution time consumed through checkpointing operations. The checkpoint interval  $i$  for a given job  $j$  is calculated as  $i_j = \frac{d_j}{p}$  where  $d_j$  is the estimated checkpoint duration for job  $j$ , and  $p$  the target maximum proportion of execution time to be occupied by checkpointing.

**StartDelay**( $n, d$ ): Through preliminary investigation we observe a significant proportion of wasted checkpoints occurred as a result of checkpointing of short-running jobs. While execution time of tasks is not known *a priori* and user estimates have been shown to be inaccurate [3], this policy aims to curtail this waste, applying a start delay  $d$  before which a newly allocated task may be checkpointed, after which tasks are checkpointed every  $n$  minutes.

**GeometricProgression**( $a, r$ ): Here we propose a generalised backoff policy based on a geometric progression, where the duration of the  $n^{th}$  checkpoint interval for job  $j$  is given by:

$$i_j^n = \begin{cases} a & \text{if } n = 0 \\ ar^{n-1} & \text{if } n \geq 1 \end{cases} \quad (4)$$

where  $a$  represents the initial checkpoint interval,  $r$  ( $r \geq 0$ ) represents the ‘common ratio’ for the sequence. The ‘Exponential backoff’ policy proposed by Oliner *et al.* [31] is equivalent to the geometric progression policy where  $r = 2$ .

### 4.3 Skip checkpoint policy

At each checkpoint interval, a decision must be made whether to proceed with carrying out a checkpoint operation, or defer to the next checkpoint interval. These decisions may be static, or may be informed by the state of the system or job.

**Never:** Checkpoint operations are taken for all checkpoint evaluation points considered.

**ClosedCluster:** A simple policy incorporating easily obtained information about the institutional computer clusters, checkpoint operations are skipped when the cluster running the job is closed to use by interactive users.

**Interarrival**( $w, m, l, d$ ): A policy requiring a greater insight into the global state of the HTC system, in this policy we observe the number of interactive user arrivals in a sliding window of  $w$  minutes. The feasibility of a checkpoint operation is evaluated every  $m$  minutes, with a checkpoint operation enacted if the number of arrivals in the period  $e_i$  from event set  $E$  is greater than threshold  $l$  and the job has not previously been checkpointed in the last  $d$  minutes. This policy may be expressed as follows:

$$\begin{cases} (t - c_j) \leq d & \text{if } \left\{ |e_i| \mid e_i \in E \wedge t - \Delta \leq T(e_i) \leq t \right\} \geq l \\ skip & \text{otherwise} \end{cases} \quad (5)$$

where current time is  $t$ ,  $c_k$  represents the time job  $j$  was last checkpointed, and  $\Delta$  represents the length of sliding window  $w$ .

We consider two variations of this policy, one considering the number of arrivals in the cluster of machines local to the job, and another considering the number of interactive user arrivals to the whole system.

**Probabilistic**( $p$ ): At a given checkpoint evaluation interval, a checkpoint is taken with probability  $p$  ( $0 \leq p \leq 1$ ).

**Exponential**( $k, t$ ): A checkpoint is taken according to exponential function  $P(t) = (1 - e^{-kt})$ ,  $0 \leq k \leq 1$ , where  $k$  is a scaling factor. We consider two cases where  $t$  is the current job execution time in minutes, or the current job execution time since the last checkpoint operation for that job.

**Filesize**( $s$ ): The checkpoint duration of jobs with large checkpoint images is often dominated by the cost of transferring these checkpoints to stable storage. This policy aims to curtail this impact by checkpointing jobs only if their image size  $c_{size} \leq s$ .

### 4.4 Generation

Here we introduce the policies governing the generation duration of checkpoints in the system.

**Fixed**( $s$ ): All jobs in the system are assumed to take  $s$  seconds to checkpoint, after which the job may resume execution.

**Job-specific**( $s, t$ ): In this policy we consider the size of the checkpoint image in determining the generation duration for the job. Our HTCCondor traces provide an *ImageSize* attribute representing the memory footprint of each job at the time

of completion, and we assume this to be representative of the memory footprint of the job throughout its execution. A number of approaches for efficient checkpoint storage are proposed, including the use of solid-state drives, and in-memory checkpoint storage [42]. To aid generalisability of the results of this policy, we focus on the overhead incurred through network transfer, rather than for persisting the information.

#### 4.5 Proactive migration

In addition to enabling recovery from failures, checkpointing mechanisms may also be used to support proactive migration of computational tasks to reduce makespan and energy consumption.

**Quiet:** Tasks are migrated to resources experiencing a lower rate of interactive user arrivals, thus reducing the likelihood of job eviction.

**Scheduled:** Tasks are migrated to avoid scheduled interruptions, e.g. all campus computers at Newcastle University reboot daily between 3am and 5am to perform routine maintenance and apply updates.

**ClusterOpening:** An, event driven checkpointing policy, where checkpoint operations are scheduled immediately prior to a cluster transitioning from being closed to open for use by interactive users.

## 5 Results

### 5.1 Summary

The impact on average task overhead and energy consumption for *None* and *Opt* policies on average task overhead and energy consumption is shown in Figures 4 and 5 respectively. All results presented are mean values obtained from fifty simulation runs, with error bars signifying 95% confidence interval values.

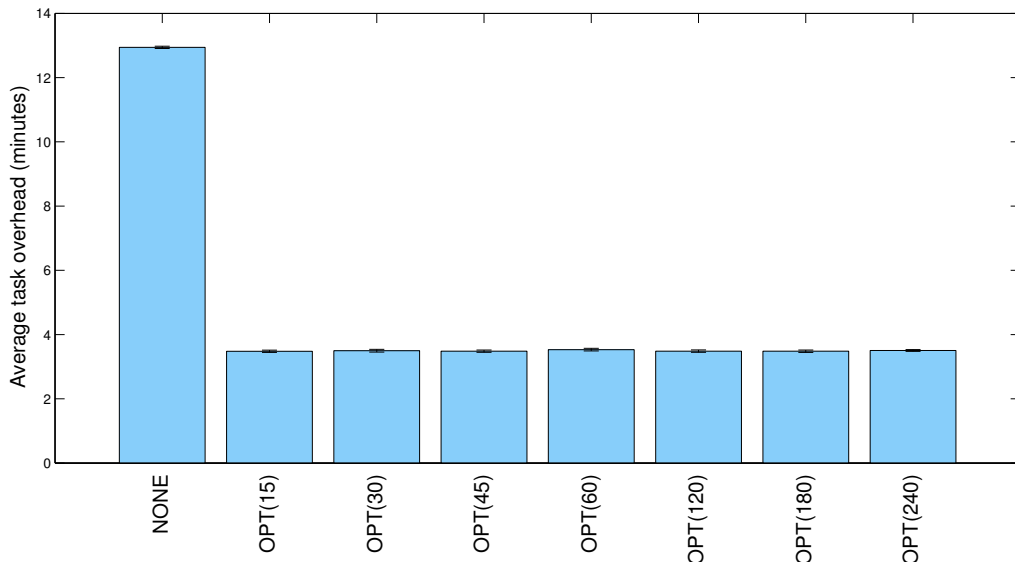


Fig. 4. Average Task Overheads

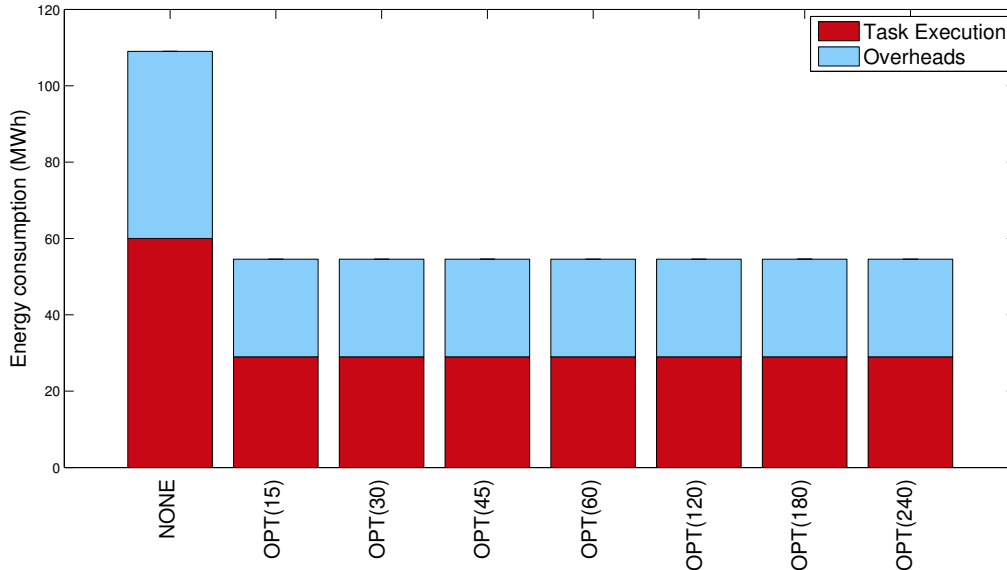


Fig. 5. Energy Consumption

The HTCCondor workload from 2010 with no checkpointing mechanism applied results in an average task overhead of 12.94 minutes and energy consumption of 112 MWh. In this scenario, task overheads result from time spent by newly arrived or evicted jobs awaiting resources to become available. Under our optimal policy, which assumes perfect knowledge of failures, overheads are reduced to 3.48 minutes, with energy consumption of 54.6 MWh. Here checkpoint duration is shown to be less significant to the efficacy of checkpointing in the presence of optimal checkpoint interval selection.

## 5.2 Policy Results

In the remainder of this paper we exemplify the positive impact which may be achieved through intelligent checkpointing policies by focusing on the results of our periodic  $\mathbf{C}(n)$  checkpoint policy, and our **ClosedCluster** policy combined with our **Scheduled** proactive migration policy. An evaluation of the remaining policies presented in Section 4 will appear in the full version of the paper.

We assess the impact of the policies proposed in the paper as the proportion of maximal benefit from checkpoint approaches. We define our benefit function as follows:

$$\text{Benefit} = 1 - \left( \frac{v_x - v_{opt}}{v_{none} - v_{opt}} \right) \quad (6)$$

where  $v_x$  may refer to either average task overhead, energy consumption or checkpoint utilisation levels for a given policy  $x$ .

Figures 6a, 6b, 6c and 7a, 7b, 7c show the impact of the policy on overheads, energy consumption and checkpoint utilisation (the proportion of completed checkpoint operations which are subsequently used for recovery) for Fixed and Closed-Cluster with Scheduled reboot migration respectively. Different lines on each graph signify checkpoint generation durations ranging from one to four minutes.

We observe the Fixed checkpointing policy has the potential to achieve a 0.6 proportional energy and cost saving when correctly parameterised. When checkpoint intervals are more frequent than 30 minutes performance degrades significantly, worsening overall performance and energy consumption significantly. As the length of checkpoint intervals increase, the benefits are curtailed and tend towards zero, representing no checkpointing of jobs. We observe only a small proportion of checkpoints utilised under the Fixed policy. While this figure rises to approximately 15% for a checkpoint interval of 180 minutes, the benefit of a job resuming from a checkpoint generated that far in the past would be limited.

When considering the ClosedCluster policy with Scheduled reboot proactive migration, we see significant improvements in average task overhead and energy consumption, with the policy outperforming the Fixed periodic checkpointing scheme for all lengths of checkpoint interval. Furthermore, we observe a significant increase in the utilisation of checkpoints generated.

From the results of our preliminary investigation, we note that for periodic checkpointing schemes, checkpoint generation duration is often almost as important as the checkpointing interval chosen. This highlights the importance of a combined approach between checkpoint scheduling policies and the efficiency of the checkpointing mechanisms themselves.

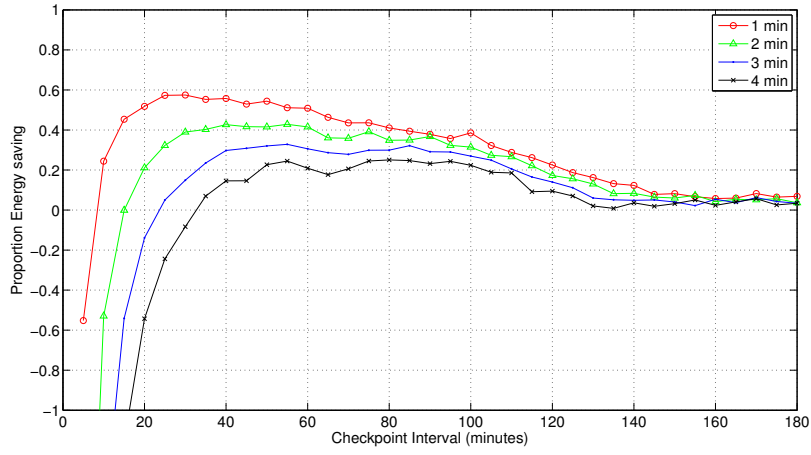
Though we find checkpointing results in significant improvements to task overheads. A contributing factor in this reduction is the relatively low load observed in Newcastle’s HTC cluster. Consequently, evicted jobs incur only a short delay while waiting for available resources. We anticipate these savings to be more modest for more heavily utilised pools.

## 6 Discussion

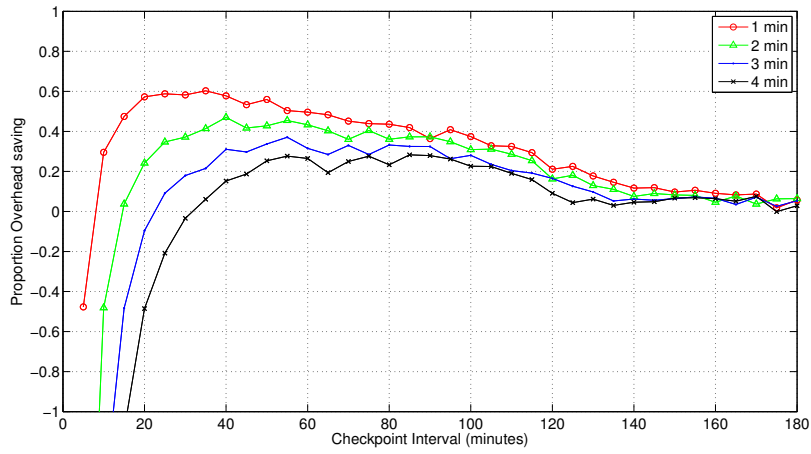
In this section, we outline the considerations the administrator of an HTC cluster should make when deciding whether to employ a checkpointing mechanism within their environment. Furthermore, we highlight a number of areas of research interest, both with respect to energy-efficient checkpointing generally, and also issues specific to the application of these approaches in the context of multi-use clusters.

*Operating policies:* FGCS systems are typically configured to operate conservatively, with the interactive user of a machine given priority over the HTC workload running on the machine. Historically there was significant potential of interference from an HTC job, degrading performance and responsiveness for interactive users of a system. However, now in multi-core systems, and with the additional separation afforded by virtualisation technologies, the impact of HTC workloads on interactive users has been shown to be negligible [21]. Relaxing operational constraints preventing HTC jobs from running on resources with interactive users not only increases the capacity and throughput of the system, but also offers significant reduction in energy consumption. Our preliminary results demonstrate the energy and performance benefits made possible when leveraging knowledge of scheduled interruptions and user activity, highlighting the benefit of communication between cluster and HTC system administrators. Furthermore, we demonstrate the potential for checkpointing informing the management decisions made at the cluster level.

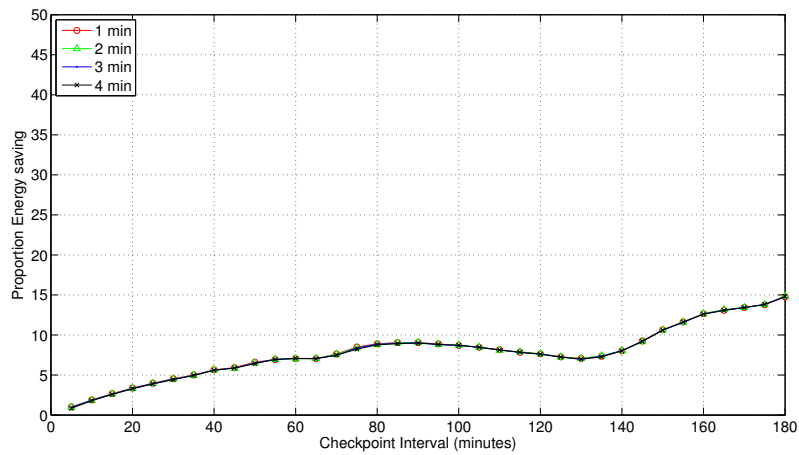
# FORSHAW



(a) The impact of the fixed checkpoint policy on energy consumption.

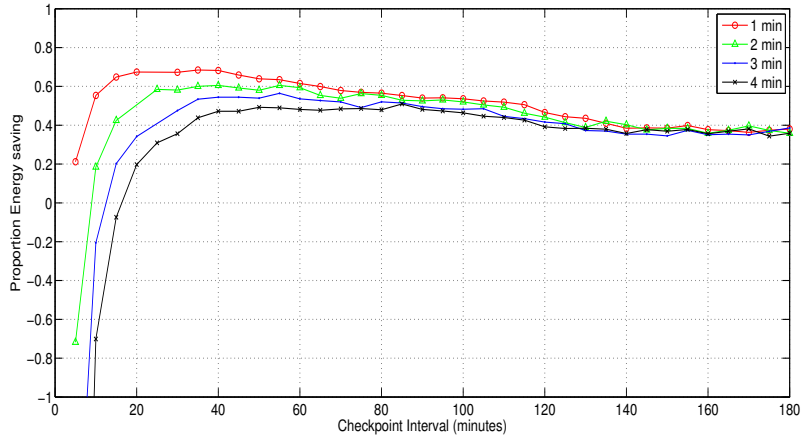


(b) The impact of the fixed checkpoint policy on average task overhead.

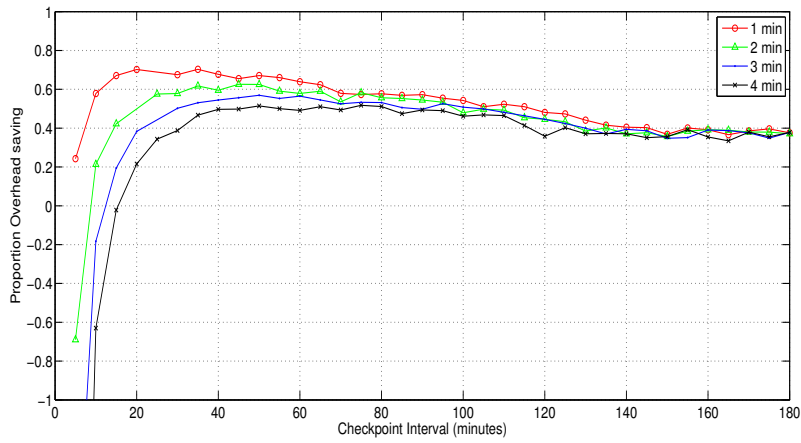


(c) The impact of the fixed checkpoint policy on checkpoint utilisation.

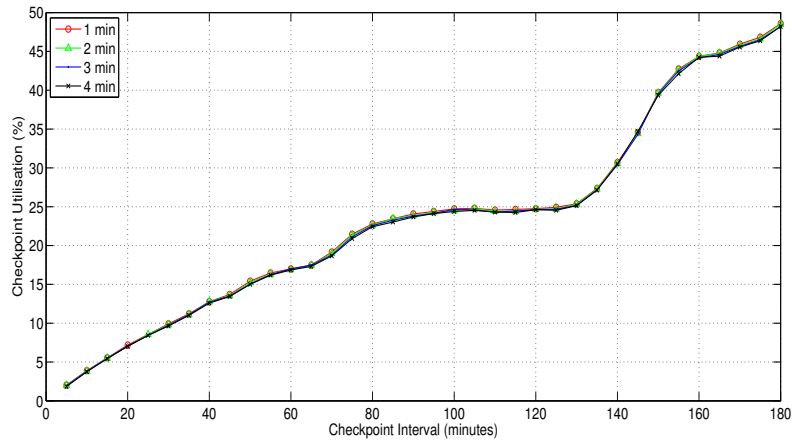
Fig. 6. Fixed checkpoint policy



(a) The impact of the ClosedCluster policy with Scheduled proactive migration on energy consumption.



(b) The impact of the ClosedCluster policy with Scheduled proactive migration on average task overhead.



(c) The impact of the ClosedCluster policy with Scheduled proactive migration on checkpoint utilisation.

Fig. 7. ClosedCluster policy with Scheduled proactive migration



For example, nightly reboots may be staggered to reduce the interference caused by many jobs checkpointing simultaneously, or reboots may be scheduled for shortly after clusters close to interactive users, increasing resource availability.

*Workload:* The efficacy of checkpointing is largely dependent on cluster workload. Checkpointing is most useful when the execution time of a large proportion of the workload exceeds typical resource mean time to failure (MTTF) or user inter-arrival durations, increasing the likelihood of interruption. Checkpointing in other situations is likely to result in a detrimental effect on energy consumption and makespan. Furthermore, some jobs do not support checkpointing, while others are unsuitable for checkpointing e.g. those with particularly large application states.

*User base:* The Newcastle University HTC cluster supports a diverse user base, from experienced system administrators and Computer Scientists interacting directly with the system, to scientists leveraging its capabilities through user interfaces or submission mechanisms provided to them. Consequently there is a need for checkpointing mechanisms to be transparent and not require in-depth understanding of HTC or programming ability for users to benefit. Furthermore it is essential that such checkpoint mechanisms are capable of achieving energy savings in the absence of user knowledge.

*Resource composition:* Modern HTC clusters commonly comprise both volunteer and dedicated resources, and increasingly leverage Cloud resources to handle peak loads and offer runtime environments not supported locally. The composition of a cluster is an important factor in determining whether checkpoint mechanisms should be employed. In clusters solely relying on volunteer resources, checkpointing offers an attractive means to deliver favourable makespan and reduced energy consumption in the presence of interruptions. As the proportion of dedicated resources increase, similar benefits may be sought by steering longer-running jobs to these more reliable resources. The implications of checkpointing on workloads running on Cloud resources has not previously been investigated in the literature, but data transfer/storage and instance costs will exacerbate the impact of any checkpoint overheads.

## 7 Conclusion

In this paper we have shown existing checkpointing mechanisms to be inadequate in reducing makespan while maintaining acceptable levels of energy consumption in multi-use clusters with interactive user interruptions. Our preliminary experimentation shows the naive application of checkpointing approaches to have the potential to negatively impact energy consumption, but small changes to make these strategies energy- and load-aware may lead to significant benefits. We highlight key considerations when adopting checkpointing in an HTC cluster and motivate a number of areas of future research interest in energy-efficient checkpointing. A detailed evaluation of new energy-aware checkpointing strategies will form the basis of our ongoing research.

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