

FORMAL SPECIFICATION

and

SOFTWARE DEVELOPMENT

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To

TONY HOARE

on the occasion of his being elected

a Fellow of the Royal Society

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PREFACE

People today use an enormous number of 'systems' ranging in complexity from washing machines to international airline reservation systems. Computers are used in nearly all such systems: accuracy and security are becoming increasingly essential. The design of such computer systems should employ development methods as systematic as those used in other engineering disciplines. A systematic development method must provide a way of writing specifications which is both precise and concise; it must also include a way of relating design to specification.

A concise specification can be achieved by restricting attention to what a system is to do: all consideration of implementation details is postponed. With computer systems this is done by: a) building an abstract model of the system — operations being specified by pre- and post-conditions; b) defining languages by mapping program texts onto some collection of objects whose meaning is understood; c) defining complex data objects in terms of abstractions known from mathematics. This last topic, the use of abstract data types, pervades all work on specifications and is necessary in order to apply the ideas to systems of significant complexity. The use of mathematically based notation is the best way to achieve precision.

A design generates a number of sub-components and a way of combining them. These sub-components must be specified. Ultimately sub-components satisfying these separate specifications will be combined to form a system which should satisfy the overall specification. If all the specifications are precise enough, it is possible to prove that a design step is correct: that is, it fulfils the original specification. This is done before the sub-components have been implemented. Such proofs of correctness are of particular importance in the early stages of a design because any mistakes made then are likely to be particularly expensive to detect and correct later.

The early stages of design frequently involve choosing machine representations for abstract data objects. For this reason, special emphasis is given to proofs of data refinement (also called object transformation).

The lowest level of design for computer systems is often called 'coding', this is distinguished from the earlier design stages only by the fact that the sub-components required are all available in the language or support software being used, and techniques are available to prove that the code meets the module specifications. This description is somewhat oversimplified: design is by no means a strictly 'top-down' activity, but in order to be understandable, the eventual documentation must be presented in a top-down structure.

The work on specifications of large systems was at the outset prompted by the need for formal definitions of programming languages. John McCarthy argued for the provision of such definitions (a more complete historical background, with references, is given in chapter 1 of this book). The size of the PL/I language prompted the attempt to apply to it some of the ideas on formal

language definition, and in the mid 1960's a definition of the PL/I language was developed in the IBM Laboratory at Vienna. This definition used 'operational semantics' and the overall approach became known as the 'Vienna Definition Language (VDL).

Christopher Strachey's group in Oxford University developed the concept of 'denotational' or 'mathematical semantics'. In the early 1970's, prompted by Hans Bekic, this new approach was adopted by the Vienna group: the more recent work of the group is thus based on the denotational approach. Some confusion has, perhaps, been caused by the decision to refer to the new work as the '*Vienna Development Method*' (whose initials, *VDM*, are too like those of the other work). The meta-language used in *VDM* was known internally as '*META-IV*': it is denotational in approach. *VDM* is, however, more than just a formal definition language: as the name implies it is a complete systematic development method. The other part of the background to the *VDM* work is provided by the work on program development methods of people such as Bob Floyd, Peter Naur, Tony Hoare, Robin Milner, Niklaus Wirth and Edsger Dijkstra. In particular the idea of 'data refinement' (or object transformation) is a key component of *VDM*.

There is emerging an increasing acceptance of the need for formal specification and design techniques. *VDM* is a systematic development method which has a wide variety of applications; it has been, and is being used in major companies and courses on it have been given throughout Europe. Even within the confines of this book, actual programming languages and database systems are discussed.

The aim of this book is to provide a source document for both industrial application of, and for post-graduate courses on, *VDM*. The only knowledge assumed of the reader is that of set and logic notation. The book is divided into three major parts. General ideas, and in particular the meta-language, are covered in the three chapters of part I. Part II is concerned with use of the *VDM* on programming languages; other applications are considered in part III. (Recent work on parallelism is mentioned only via references in this book.)

The parts and chapters are connected by 'link material' which provides the context for the individual contributions, gives further reference and provides hints on alternative ways to read the book.

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D.B.

C.B.J.

